

Attorney Docket No.: 014050-009070US
Client Ref. No.: 467-C5 and CX-00-0382

PTO/SB/01A (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention | FUSION PROTEINS OF MYCOBACTERIUM TUBERCULOSIS

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 09/886,349 filed on June 20, 2001
☐ as amended on _____ (if applicable);

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OFFICE OF PETITIONS

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor 1 | Yasir Skeiky | Date: 6/20
Signature: [Signature] | Citizen of: Canada

Inventor 2 | Steven Reed | Date: _____
Signature: _____ | Citizen of: United States

Inventor 3 | Mark Alderson | Date: 6/20/02
Signature: M.R. Alderson | Citizen of: Australia

Inventor 4 | _____ | Date: _____
Signature: _____ | Citizen of: _____

☐ Additional inventors are being named on form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
SF 1350348 v1

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Attorney Docket No. 0140-009070US
Client Ref. No. 467-05 and EX-00-0382

Approved for use through 07/12/2002 OMB 0651-0042
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

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FULL NAME OF INVENTOR(S)

Inventor 1	<u>Yasir Skeiky</u>	Date	<u>6/20</u>
Signature	<u>[Signature]</u>	Citizen of	<u>Canada</u>
Inventor 2	<u>Steven Reed</u>	Date	<u>20 June 02</u>
Signature	<u>[Signature]</u>	Citizen of	<u>United States</u>
Inventor 3	<u>Mark Alderson</u>	Date	<u>6/20/02</u>
Signature	<u>[Signature]</u>	Citizen of	<u>Australia</u>
Inventor 4		Date	
Signature		Citizen of	

☐ Additional inventors are being named on form(s) attached hereto.

Hidden from Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. This information is used by the public to file and the USPTO to process an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.12. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual user. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FILES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patent, Washington, DC 20231.

SF 3350348 v1

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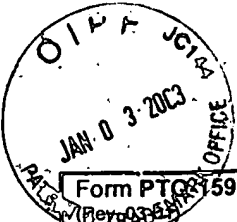
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Form PTO-595

Recordation Form Cover Sheet
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U.S. Department of Commerce
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OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings >>>▽▽▽▽▽▽▽▽

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies): Yasir Skeiky Additional name(s) of conveying parties attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No.	2. Name and address of receiving party(ies) Name: <u>Corixa Corporation</u> Internal Address: _____ Street Address: <u>1124 Columbia Street, Suite 200</u> City: <u>Seattle</u> State: <u>WA</u> ZIP: <u>98104</u> Additional names and addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: _____ Execution Date: <u>June 20, 2002</u>	

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: _____


A. Patent Application No(s): 09/886,349 B. Patent No(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Annette S. Parent</u> <u>TOWNSEND AND TOWNSEND AND CREW LLP</u> <u>Two Embarcadero Center, 8th Floor</u> <u>San Francisco, California 94111-3834</u> <u>(415) 576-0200</u>	6. Total number of applications and patents involved <u>1</u> 7. Total fee (37 CFR 3.41): <u>\$40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>20-1430</u> (Attach duplicate copy of this page if paying by deposit account)
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9. Statement and signature.
To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

<u>Annette S. Parent</u> Name of Person Signing Atty. Reg. No. 42,058	 Signature	<u>August 7, 2002</u> Date
---	---	-------------------------------

Total number of pages including cover sheet, attachments and document 6

1. Additional name(s) of conveying party(ies):
(Continued from Page 1)

Steven Reed
Mark Alderson

2. Additional name(s) and address(es) of receiving party(ies):
(Continued from Page 1)

3. Additional application number(s) or patent number(s):
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Yasir Skeiky of 8327 25th Ave. N W, Seattle, WA 98107; Steven Reed of 2843 122nd Place NE, Bellevue, WA 98005; Mark Alderson of 1116 Grow Avenue, NW, Bainbridge Island, WA 98116; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: FUSION PROTEINS OF MYCOBACTERIUM
TUBERCULOSIS

Date(s) of execution of Declaration:

Filing Date: June 20, 2001

Application No.: 09/886,349; and


WHEREAS, Corixa Corporation, a corporation of the state of Delaware, located at 1124 Columbia Street, Suite 200, Seattle, WA, 98104, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 6/20


Yasir Skeiky

STATE OF WASHINGTON)
)
COUNTY OF) ss.

On June 20, 2002, before me, DEIRDRE I. BRYAN,
personally appeared Yasir Skeiky, personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.


NOTARY PUBLIC

My Commission Expires: 9/1/02

Assignment
Attorney Docket No. 014058-009070US
Page 5

Dated 20 June 2002


Steven Reed

STATE OF WASHINGTON)
COUNTY OF)

On _____ before me,
personally appeared Steven Reed, personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me
that he/she executed the same in his/her authorized capacity and that by his/her signature on the instrument the
person, or the entity upon behalf of which the person acted, executed the instrument

WITNESS my hand and official seal

NOTARY PUBLIC

My Commission Expires

Dated: 6/20/02

M. R. Alderson
Mark Alderson

STATE OF WASHINGTON)
)
COUNTY OF) ss.

On June 20, 2002, before me, **DEIRDRE I. BRYAN**,
personally appeared Mark Alderson, personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Deirdre I. Bryan
NOTARY PUBLIC

My Commission Expires: 9/1/02



Please type a plus sign (+) inside this box → + →

PTO/SB/81 (02-01)
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT	Application Number	09/886,349
	Filing Date	June 20, 2001
	First Named Inventor	SKEIKY, Yasir
	Title	FUSION PROTEINS OF MYCOBACTERIUM TUBERCULOSIS
	Group Art Unit	Unassigned
	Examiner Name	Unassigned
	Attorney Docket Number	014058-009070US

I hereby appoint:

☒ Practitioners at Customer Number 20350 → Place Customer
Number Bar Code
Label here

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

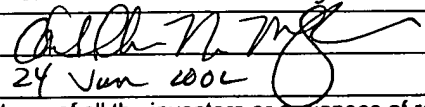
☒ The above-mentioned Customer Number.
OR
☐ Practitioners at Customer Number →

<input type="checkbox"/> Firm or Individual Name					
Address					
Address					
City		State		ZIP	
Country					
Telephone		Fax			

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record	
Name	Kathleen N. McKereghan
Signature	
Date	24 Jun 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Yasir SKEIKY, Steven REED and Mark ALDERSON

Application No./Patent No.: 09/886,349

Filed/Issue Date: June 20, 2001

Entitled: FUSION PROTEINS OF MYCOBACTERIUM TUBERCULOSIS

Corixa Corporation

a Delaware corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____%

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

24 Jun 2002

Date

Kathleen N. McKereghan

Typed or printed name

Kathleen N. McKereghan

Signature

Senior VP, General Counsel

Title and Secretary

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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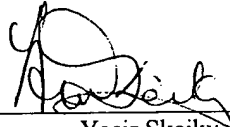
For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

*Not For Recordation -
Exhibit only*

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 6/20


Yasir Skeiky

STATE OF WASHINGTON)
)
COUNTY OF) ss.

On June 20, 2002, before me, DEIRDRE I. BRYAN,
personally appeared Yasir Skeiky, personally known to me (or proved to me on the basis of
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person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.


NOTARY PUBLIC

My Commission Expires: 9/1/02

Assignment
Attorney Docket No. 014058-009070US
Page 5

Dated 20 June 2002


Steven Reed

STATE OF WASHINGTON)
)
COUNTY OF)

On -- -- before me,
personally appeared Steven Reed, personally known to me (or proved to me on the basis of
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NOTARY PUBLIC

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Dated: 6/20/02

M. R. Alderson
Mark Alderson

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)
COUNTY OF) ss.

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WITNESS my hand and official seal.

Deirdre I. Bryan
NOTARY PUBLIC

My Commission Expires: 9/1/02



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PATENT PROPERTY STATUS REPORT

PREPARED FOR

Corixa Corporation
1124 Columbia Street
Suite 200
Seattle, WA 98104

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JAN 06 2003
OFFICE OF PETITIONS

PREPARED BY

TOWNSEND AND TOWNSEND AND CREW LLP

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SUITE 625
WALNUT CREEK, CA 94586
(925)-472-5000 voice
(925)-472-8895 facsimile

August 2, 2002

Please contact us for explanations or limitation of this report.

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PATENT PROPERTY STATUS REPORT

TTC Ref Country ATTY(s) Handling	Client's Ref	Title	Inventor	Application No. Filing Date	Patent No. Issue Date	Status Remarks
014058-009070US ASP (KLB)		Fusion Proteins of Mycobacterium Tuberculosis	Sheiky, Yasir A. W. Reed, Steven G Alderson, Mark R.	09/886349 06/20/01		Pending Priority (Cntry, Appl No, Date): US 09/597796 06/20/00 IDS due 09/20/01

NOTE: Inventors are limited to first four only.
August 2, 2002